

Equity Funds							
2025							
	Date Breach Identified	Position as of	Fund	Breach	Breach initiation	Breach Initiation	Justification
1	30/01/2025	29/01/2025	Riyad Saudi Equity Fund (14)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
2	30/01/2025	29/01/2025	Riyad Blue Chip Equity Fund (27)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
3	20/02/2025	19/02/2025	Riyad Income Fund (17)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
4	20/02/2025	19/02/2025	Riyad Income Fund (17)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
5	20/02/2025	19/02/2025	Riyad Income Fund (17)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
6	12/03/2025	11/03/2025	Riyad Opportunity sharia Fund (98)	Not more than 20% of the public fund's net asset value may be invested in all classes of securities issued by any single issuer	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
7	12/03/2025	11/03/2025	Riyad Opportunity sharia Fund (98)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
8	21/04/2025	20/04/2025	Riyad Saudi Equity Fund (14)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
9	24/04/2025	23/04/2025	Riyad Opportunity sharia Fund (98)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
10	24/04/2025	23/04/2025	Riyad Opportunity Fund (79)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر

11	11/05/2025	08/05/2025	Riyad Opportunity Fund (79)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
12	11/05/2025	08/05/2025	Riyad Opportunity sharia Fund (98)	Not more than 20% of the public fund's net asset value may be invested in all classes of securities issued by any single issuer	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
13	12/05/2025	11/05/2025	Riyad Opportunity sharia Fund (98)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
14	21/05/2025	20/05/2025	Riyad Opportunity sharia Fund (98)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
15	25/05/2025	22/05/2025	Riyad Opportunity sharia Fund (98)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
16	29/05/2025	28/05/2025	Riyad Opportunity Fund (79)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
17	29/06/2025	26/06/2025	Riyad Emaar Fund (24)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
18	01/07/2025	30/06/2025	Riyad Opportunity Fund (79)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
19	06/07/2025	03/07/2025	Riyad Blue Chip Equity Fund (27)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
20	01/07/2025	30/06/2025	Riyad Opportunity Fund (79)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
21	24/07/2025	23/07/2025	Riyad Opportunity Fund (79)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر

22	28/07/2025	27/07/2025	Riyad Blue Chip Equity Fund (27)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
23	12/08/2025	11/08/2025	Riyad Blue Chip Equity Fund (27)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
24	12/08/2025	11/08/2025	Riyad Opportunity Fund (79)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
25	12/08/2025	11/08/2025	Riyad Saudi Equity Fund (14)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
26	17/08/2025	14/08/2025	Riyad Saudi Equity Fund (14)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
27	21/08/2025	20/08/2025	Riyad Saudi Equity Fund (14)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
28	25/08/2025	24/08/2025	Riyad Saudi Equity Fund (14)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
29	27/08/2025	26/08/2025	Riyad Opportunity Fund (79)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
30	28/08/2025	27/08/2025	Riyad Opportunity sharia Fund (98)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
31	02/09/2025	01/09/2025	Riyad Opportunity Fund (79)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
32	15/09/2025	14/09/2025	Riyad Emaar Fund (24)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
33	18/09/2025	17/09/2025	Riyad Opportunity sharia Fund (98)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر

34	28/09/2025	25/09/2025	Riyad Opportunity Fund (79)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
35	28/09/2025	25/09/2025	Riyad Emaar Fund (24)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
36	28/09/2025	25/09/2025	Riyad Blue Chip Equity Fund (27)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
37	02/11/2025	30/10/2025	Riyad Saudi Equity Fund (14)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
38	02/11/2025	30/10/2025	Riyad Income Fund (17)	More than 10% or (% of market capitalization) of NAV invested in a class of securities by single issuer.	Passive	Market movements	سيتم التصحيح خلال ستة أشهر
<b>Money Market Funds</b>							
<b>2025</b>							
	Date Breach Identified	Position as of	Fund	Breach	Breach initiation	Breach Initiation	Justification
1	10/02/2025	06/02/2025	Riyad SAR Diversified Trade Fund (20)	T&C_5 (Investment funds Min 0% Max 25%)	Passive	حدوث عدة سحبوبات متتالية مما أدى لانخفاض النسبة	حدوث اشتراكات في الصندوق وبافتراض عدم حدوث سحبوبات اضافية.
2	24/03/2025	23/03/2025	Riyad USD Trade Fund (03)	T&C_5 (Investment funds Min 0% Max 25%)	Passive	حدوث عدة سحبوبات متتالية مما أدى لانخفاض النسبة	حدوث اشتراكات في الصندوق وبافتراض عدم حدوث سحبوبات اضافية.
3	13/04/2025	14/04/2025	Riyad SAR Diversified Trade Fund (20)	T&C_5 (Investment funds Min 0% Max 25%)	Passive	حدوث عدة سحبوبات متتالية مما أدى لانخفاض النسبة	حدوث اشتراكات في الصندوق وبافتراض عدم حدوث سحبوبات اضافية.
4	20/05/2025	18/05/2025	Riyad SAR Liquidity Fund (109)	T&C_5 (Investment funds Min 0% Max 25%)	Active	حدوث اشتراكات في الصندوق وبافتراض جميع الصفقات مع بنك الراجحي،	لم يتم إضافة جميع الصفقات مع بنك الراجحي في تقرير قسم العمليات مما أدى لاحتساب نسبة عرض أقل من النسبة الفعلية، وبالتالي عند قيام مدير الصندوق بصفقة جديدة مع نفس البنك لم نعكس جميع الصفقات الفعلية وأدى ذلك لزيادة نسبة التعرض لما فوق 25% نتيجة للتقرير الخاطئ المزود من قسم العمليات، و لم اصلاح التجاوز حيث لم استحقاق وديعة مع بنك الراجحي في 21/5/2025 مما أدى لانخفاض نسبة التعرض لما دون 25%.

5	29/06/2025	25/06/2025	Riyad USD Trade Fund (03)	(Mutual Fund Units Min 0% Max 20% )	Passive	حدوث عدة سحبوبات متتالية مما أدى لانخفاض النسبة	حدوث اشتراكات في الصندوق وبافتراض عدم حدوث سحبوبات اضافية.
6	21/08/2025	20/08/2025	Riyad SAR Liquidity Fund (109)	T&C_5 (Investment funds Min 0% Max 25%)	Active	حدوث اشتراكات في الصندوق وبافتراض جميع الصفقات مع بنك السعودي للاستثمار	لم يتم إضافة جميع الصفقات مع بنك السعودي للاستثمار في تقرير قسم العمليات مما أدى لاحتساب نسبة عرض أقل من النسبة الفعلية، وبالتالي عند قيام مدير الصندوق بصفقة جديدة مع نفس البنك لمنعكس جميع الصفقات الفعلية وأدى ذلك لزيادة نسبة التعرض لما فوق 25% نتيجة للتقرير الخاطئ المزود من قسم العمليات، ولم اصلاح التجاوز حيث لم استحقاق وديعة مع بنك الراجحي في 21/8/2025 مما أدى لانخفاض نسبة التعرض لما دون 25%.
7	19/10/2025	15/10/2025	Riyad USD Diversified Trade Fund (22)	T&C (Money market instruments Min 20% Max 50%)	Passive	حدوث عدة سحبوبات متتالية مما أدى لانخفاض النسبة	حدوث اشتراكات في الصندوق وبافتراض عدم حدوث سحبوبات اضافية.
<b>Fund Of Funds</b>							
<b>2025</b>							
	Date Breach Identified	Position as of	Fund	Breach	Breach initiation	Breach Initiation	Justification
1	28/07/2025	27/08/2025	Riyad Al Mutawazen ShariaFund (42)	T&C Asset Allocation	Passive	FUND Rebalancing	Close / adjust position(s) with 10 days
2	18/09/2025	16/09/2025	Riyad Al Mutahafedh Fund (33)	5% of its assets as cash & cash equivalent	Active	FUND Rebalancing	Close / adjust position(s) with 10 days
3	18/09/2025	16/09/2025	Riyad Al Mutawazen Sharia Fund (42)	5% of its assets as cash & cash equivalent	Active	FUND Rebalancing	Close / adjust position(s) with 10 days
4	18/09/2025	16/09/2025	Riyad Al Mutahafedh Sharia Fund (43)	5% of its assets as cash & cash equivalent	Active	FUND Rebalancing	Close / adjust position(s) with 10 days
5	18/09/2025	16/09/2025	Riyad Al Mutawazen Fund (32)	5% of its assets as cash & cash equivalent	Active	FUND Rebalancing	Close / adjust position(s) with 10 days
6	02/11/2025	29/10/2025	Riyad Al Mutawazen Sharia Fund (42)	5% of its assets as cash & cash equivalent	Passive	FUND Rebalancing	Close / adjust position(s) with 10 days